

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEET

To be presented to Committee Wednesday 10 th April 2024					
Committee Date	Agenda No.	Report	Action Required	Responsible Officer	Action Taken
25 Jan 2023	57.	Drury Primary School - Finance Management follow-up	To advise the Committee when the final outstanding action has been completed.	L Brownbill	The school has supplied audit with a copy of the signed lease and the action has been marked as implemented and closed. This was the final outstanding action for this audit.
22 Mar 2023	67.	IA Strategic Plan	To share with the Committee the report on the investigation into the Cabinet meeting in Feb when available.	G Owens	This will be shared once the investigation report has been finalised.
14 Jun 2023	6.	AW review of Commissioning Older People's Care Home Placements	To share a follow-up report on progress with actions at a later stage.	J Davies	This will be provided to members when available.
22 Nov 2023	40	AGS 2022/23 mid-year progress	To include an extra column showing target risk scores and for the Risk Management Update to demonstrate how the scoring matrix is helping to show improvements.	L Brownbill	This will be included in the AGS report presented to committee in June.
22 Nov 2023	40	AGS 2022/23 mid-year progress	To revise the dashboard overview to more clearly show the total number of issues in the pie chart (p.3).	L Brownbill	This will be included in the AGS report presented to committee in June.
24 Jan 2024	54	Audit Wales report - Social Enterprise	To consider including timescales in the action plan in the next review.	N Waller	This will be considered at the next review in December 2024.
24 Jan 2024	55	Risk Management Update	<ol style="list-style-type: none"> 1) To consider the inclusion of long-term trend information on the dashboard for future reports. 2) To reflect changes from operational to strategic risks in the framework, e.g. the amber red report on DBS checks. 3) To clearly define the primary responsibility of Cabinet on risk management in the framework. 4) To clarify the additional role of Gov & Audit Committee in section 4 of the framework in respect of risk ownership. 5) To develop wording within the framework on identifying positive consequences from 	L Brownbill E Heath	<ol style="list-style-type: none"> 1) This will be considered as part of the future dashboard reports for committee. 2) This has been included within the revised framework within Section 4 Roles and Responsibilities 3) As point 2 above 4) As point 2 above 5) This was already included within the framework and is referred to in Section 1 Introduction, Section 3.2 Risk Appetite, Section 4 Roles and Responsibilities and Section 5 Risk

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEET

To be presented to Committee Wednesday 10 th April 2024					
Committee Date	Agenda No.	Report	Action Required	Responsible Officer	Action Taken
			risks.		Management Process.
24 Jan 2024	55	Risk Management Update	Request for a future Member workshop arising from WG proposals on Out of County Placements.	N Cockerton G Owens	This will be action if required
24 Jan 2024	58	IA Progress Report	That a report be received at the next meeting relating to the position on the DBS service and urgent actions required to address the problems identified.	N Cockerton L Brownbill	An update to be provided at the next committee and an interim update provided via email to members 19.03.2024
24 Jan 2024	58	IA Progress Report	To remind Chief Officers of their responsibilities in reducing overdue audit actions.	N Cockerton	Whilst this takes place at each member this issue was raised again with officers.
24 Jan 2024	58	IA Progress Report	To respond to the Committee by email on: <ul style="list-style-type: none"> • Progress with arranging contracts with third party organisations in receipt of grant funding (Strategic Core Funding Amber/Red report). • Confirming that schools are responsible for their own DBS checks. 	L Brownbill	This was shared via email to member on 25.03.2024. Members were updated via email on the 19.03.2024.
24 Jan 2024	59.	Action Tracking	That the Internal Audit, Performance & Risk Manager follows up on the query raised by Cllr Parkhurst in respect of the anonymous allegations and reports back to the next meeting.	L Brownbill	This will be discussed at the next committee in a closed session
24 Jan 2024	59.	Action Tracking	To share an update with the Committee on the action from 22/03/23 re outcome of investigations into the Cabinet meeting.	G Owens	Please refer to action from the 22.03.2023