

# Streetscene and Transportation Portfolio Risk Register

Version 09

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## Risk Register - Part 1 (Portfolio Management)

### Finance

Key		
Underlying Risk Rating	The risk rating before any mitigating actions	
Current Risk Rating	This risk rating following the planned mitigation actions	
Target Risk Rating	The risk rating which is realistically achievable and by when	
Risk Trend	The trend of the risk since the last review date	
NC ↔	Risk has not changed since the last review	
Risk Status	Open denotes a live risk	Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'		
ST	Streetscene and Transportation	

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST01 Updated	Public bus services (commercial and subsidised) are financially unsustainable due to reduced passenger numbers Note: target risk date updated to open due ongoing uncertainty within the bus industry	Operational	Anthony Stanford	Ceri Hansom	R	R	G Open	NC ↔	Open
*ST02	Increased transport operating costs due to reducing number of available transport operators to provide services	Operational	Anthony Stanford	Ceri Hansom	R	R	G Open	NC ↔	Open
*ST03 Updated	Increasing cost of undertaking Public Health Funerals due to inability of families to fund funerals Note: risk trend is reducing and current risk rating reduced to green due to reducing impact of public health burials	Operational	Anthony Stanford	Richard Blake	A	G	G Q4 2020/21	G ↓	Open
*ST04	Increased operational costs (resource, workforce) for Bereavement Services due to increased number of deaths	Operational	Anthony Stanford	Richard Blake	A	Y	G Open	NC ↔	Open
*ST05 Updated	National targets not being met for Road Safety and Active Travel due to an inability to complete essential schemes Note: risk trend is reducing and current risk rating reduced to green due decreased number of road accident and the progression of schemes	Strategic	Anthony Stanford	Richard Flood	R	G	G Q4 2020/21	G ↓	Open
ST06	Unable to ensure highways infrastructure remains safe and capable of supporting economic growth due to reduced funding	Strategic	B Wilkinson	Area Managers	Y	Y	Y Open	NC ↔	Open
ST07	Unable to respond to Ash Die Back due to insufficient resource or funding	Strategic	B Wilkinson	Area Managers	Y	A	Y Open	NC ↔	Open
*ST08	Increase in third party claims due to changes in Highway Inspection process	Strategic	B Wilkinson	Area Managers	Y	G	G Q4 2020/21	NC ↔	Open
*ST09	Loss of income from the sale of recyclable materials due to reduced market demand	Operational	R Cartwright	G Povey	A	R	Y Open	NC ↔	Open
*ST10 Updated	Increased expenditure on contract, labour, plant, vehicles and materials due to changing work patterns in response to pandemic	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	R	A Open	NC ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
	Note: target risk date updated to open due to ongoing uncertainty around COVID response								
*ST10a Updated	Increased expenditure on the disposal of residual waste due to increased tonnage collected from residential properties Note: risk trend is reducing and current risk rating reduced to amber due to Funding provided by Welsh Government to cover increased costs. Target risk date updated to open due to uncertainty when residual waste volumes will return to pre COVID levels.	Strategic	Ruth Cartwright	G Povey	A	A	Y Open	G ↓	Open
*ST11 Updated	Significant loss of income from the suspension of services due to COVID-19 response Note: target risk date updated to open due to uncertainty on when income will return to pre COVID levels.	Strategic	Ruth Cartwright	Vicky Plant	R	A	G Open	NC ↔	Open

#### Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST12 Updated	Reduced numbers of frontline workers and contractors to deliver services safely due to increased sickness levels Note: risk trend is reducing and current risk rating decreased to red following changes to operative shift patterns	Operational	Katie Wilby	Gareth Thomas / Kevin Edwards / Jamie Bennett	A	R	G Q4 2020/21	G ↓	Open
*ST13 Updated	Limited Personal Protective Equipment (PPE) for workforce to conduct operations safely due to lack of availability in supply chain Note: risk to be closed due to no anticipated issues with PPE supply	Operational	Gareth Thomas	Steve O'Shaughnessy	A	G	G Q2 2020/21	NC ↔	Closed
ST14	An increase in staff absence due to physical and verbal abuse while undertaking duties	Operational	Operational Managers	Supervisors	A	Y	G Open	NC ↔	Open
ST15	Workforce industrial action will impact on our ability to deliver key operational services	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	G	G Open	NC ↔	Open
ST16 Updated	Inability to provide staff with key training due to social distancing measures Note: target risk date updated to Q2 2021/22 due to timescale for development of new training suite	Operational	Ruth Cartwright	Gemma Boniface	Y	A	G Q2 2021/22	NC ↔	Open
*ST17 Updated	Increased referrals to occupational health for mental health issues due to the impacts of remote working or continuing to work in frontline services Note: target risk date updated to open due to ongoing referral demand and uncertainty of when COVID impact will come to an end.	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Open	NC ↔	Open
*ST17a Updated	Increased risk of ill health due to mental health and well-being, personal and/or family issues Note: target risk date updated to open due to ongoing personal / family issues being experience by staff and workforce	Strategic	Stephen O Jones	Ruth Cartwright, Katie Wilby, Barry Wilkinson, Anthony Stanford	R	R	G Open	NC ↔	Open

*ST18 Updated	Backlog of administrative work due to home working (permit processing, payment refunds, tag printing) Note: target risk date updated to Q4 2020/21 due to temporary increase in workload due to service demand throughout the winter	Operational	Ruth Cartwright	Vicky Plant	A	G	G Q4 2020/21	NC ↔	Open
*ST19 Updated	Unable to build resilience and provide generic work functions due to remote working Note: risk to be closed due to no anticipated issues providing generic work functions	Operational	Ruth Cartwright	Vicky Plant	Y	G	G Q2 2020/21	NC ↔	Closed
*ST20	Missing key changes in employees' health and wellbeing due to Face to Face Occupational Health Surveillance appointments being placed on hold	Operational	Ruth Cartwright	Gemma Boniface	Y	Y	G Q3 2020/21	NC ↔	Open

#### Property & Assets

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST21 Updated	Loss of depot facilities will impact our ability to deliver operational services Note: risk to be closed due to no anticipated issues with depot facilities	Operational	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	G	G Q3 2020/21	NC ↔	Closed
*ST22 Updated	Lack of available burial capacity due to increased demand Note: risk trend is reducing and current risk rating reduced to green due to decreasing national trend in deaths and the availability of additional burial space at Hope cemetery	Operational	Anthony Stanford	Richard Blake	R	G	G Q4 2020/21	G ↓	Open
ST23	Impact of adverse weather conditions on the highway network resulting in damage to infrastructure	Strategic	B Wilkinson	Area Managers	A	A	A Open	NC ↔	Open
*ST24 Updated	Unable to progress with key infrastructure improvement projects due to prolonged pandemic impacts due to reduced grant funding Note: risk to be closed due to continued opportunity to receive grant funding for projects	Strategic	Anthony Stanford	Richard Flood	G	G	G Q4 2020/21	NC ↔	Closed
ST24a New	Unable to progress with key infrastructure improvement projects due to resilience in staff, contractors and supply chain	Strategic	Anthony Stanford	Richard Flood	A	A	G Q4 2021/22	NC ↔	Open
*ST25 Updated	Inadequate vehicle capacity to transport pupils and passengers whilst adhering to social distancing Note: target risk date updated to open due to uncertainty when home to school transport will return to normal provision	Operational	Anthony Stanford	Ceri Hansom	R	Y	Y Open	NC ↔	Open
*ST26 Updated	Lack of available space at the Alltami depot facility to be able to provide full service due to social distancing measure Note: risk to be closed due to no anticipated issues with space to provide full service	Operational	Katie Wilby	Gareth Thomas	R	G	Y Q4 2020/21	NC ↔	Closed

## Governance/Legal

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST27 Updated	Breach of legislation / Non-compliance with legislation (Environmental Permits, Health & Safety, Vehicle Operators' license, TROs) due to the inability to provide work services Note: target risk date updated to open due to uncertainty of COVID impact on regulatory services.	Strategic	Katie Wilby	Gareth Thomas	A	A	G Open	NC ↔	Open

## External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST28	Unable to accept and process waste and recycling due to the loss of Environmental Permit	Strategic	R Cartwright	G Povey	A	G	G Q4 2020/21	NC ↔	Open
*ST28a New	Unable to gain regulatory approval (planning; Drainage; environmental permitting) to progress key infrastructure projects in a timely manner due to delays in processing applications.	Operational	Katie Wilby	Steven Parry, Gaby Povey	A	R	G Q4 2021/22	NC ↔	Open
*ST30 Updated	Expiry of statutory training certifications due to the inability to undertake testing / training Note: target risk date updated to Q2 2021/22 due to timescale for development of new training suite	Strategic	Ruth Cartwright	Gemma Boniface	G	A	G Q2 2021/22	NC ↔	Open
*ST32	Backlog in vehicle MOTs due to the closure of test centres	Operational	B Wilkinson	Fleet Manager	Y	Y	Y Q4 2020/21	NC ↔	Open

## ICT & Systems

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST33 Updated	Unable to deliver key operational services due to the failure of telecommunications, ITC, mobile phone networks Note: risk to be closed due to no anticipated disruption to telecommunications, ITC, mobile phone networks provision	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	G	G Q4 2020/21	NC ↔	Closed

## Risk Register - Part 2 (Portfolio Service & Performance Risks)

### Service Delivery

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST34 Updated	Lack of available materials (tarmac, stone) to undertake planned works due to product availability Note: risk to be closed due to no anticipated disruption to availability of materials to undertake works	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	G	G Q3 2020/21	NC ↔	Closed
*ST35	Lack of preparedness to respond to multiple emergencies or major incidents during the pandemic (severe weather event, fire, major transport accident) due to resource being focused on delivering key services	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	R	G Q4 2020/21	NC ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST36	Loss of key suppliers or partner organisations to deliver key operational services due to company insolvencies	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Open	NC ↔	Open
*ST36a New	Loss of key suppliers or partner organisations to deliver key operational services due break in operations (fire, loss of permit, sickness)	Operational	Ruth Cartwright	Katie Wilby, Barry Wilkinson, Anthony Stanford	A	A	G Open	NC ↔	Open

#### Highway Policy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST38 Updated	Unable to undertake pedestrian/cycle safety training due to schools not operating and reduced funding Note: target risk date updated to open due to ongoing uncertainty of schools being open to provide the training	Operational	Anthony Stanford	Richard Flood	A	A	G Open	NC ↔	Open
*ST39 Updated	Unable to undertake traffic surveys to support financial bids for transport schemes or justify implementing new safety measures Note: target risk date updated to open due to ongoing uncertainty of when traffic levels will return to sufficient levels to gain meaningful data	Strategic	Anthony Stanford	Richard Flood	R	Y	G Open	NC ↔	Open

#### Fleet

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST40 Updated	Interruption of available fuel to sufficiently operate fleet vehicles Note: Current risk rating increased to yellow due to uncertainty with Brexit implications on fuel supply Note: risk trend is reducing and current risk rating reduced to green due to no disruption to fuel supply.	Strategic	B Wilkinson	Fleet Manager	G	G	G Q4 2020/21	G ↓	Open

#### Waste Strategy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST41 Updated	Unable to dispose of waste and recycling products due to end producer availability Note: target risk date updated to open due to ongoing uncertainty in recycling markets	Operational	R Cartwright	G Povey	R	A	G Open	NC ↔	Open

#### Parking and Enforcement

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST42 Updated	An increase in dangerous and obstructive parking due to the suspension of parking enforcement Note: risk to be closed due to parking enforcement recommencing	Operational	Ruth Cartwright	Vicky Plant	A	G	G Open	NC ↔	Closed
*ST42a New	An increase in dangerous and obstructive parking in residential areas preventing operational service delivery (waste and recycling)	Operational	Katie Wilby	Kevin Edwards	Y	A	G Open	NC ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
	collections; gritting; highway maintenance) due to increased working from home.								
<b>*ST43</b> Updated	An increase in environmental crime (littering, dog fouling, side waste) due to the suspension of monitoring patrols Note: target risk date updated to open due to ongoing changes to enforcement response during periods of lockdown	<b>Operational</b>	<b>Ruth Cartwright</b>	<b>Vicky Plant</b>	<b>A</b>	<b>G</b>	<b>G Open</b>	<b>NC ↔</b>	<b>Open</b>
<b>*ST44</b> Updated	Increase in large scale fly tipping due to the closure of Household Recycling Centres and illegal waste collection companies operating Note: target risk date updated to open due to ongoing changes to enforcement response during periods of lockdown	<b>Operational</b>	<b>Ruth Cartwright</b>	<b>Vicky plant</b>	<b>A</b>	<b>G</b>	<b>G Open</b>	<b>NC ↔</b>	<b>Open</b>

Closed Risk

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
<b>*ST29</b>	Loss of Industry Standard accreditations due to the inability to undertake external audits	<b>Operational</b>	<b>R Cartwright</b>	<b>G Povey / P Murphy</b>	<b>G</b>	<b>G</b>	<b>G Q3 2020/21</b>	<b>NC ↔</b>	<b>Closed</b>
<b>*ST31</b>	Expiry of calibrated equipment due to the inability to undertake testing	<b>Operational</b>	<b>Ruth Cartwright</b>	<b>Gemma Boniface</b>	<b>G</b>	<b>G</b>	<b>G Q3 2020/21</b>	<b>NC ↔</b>	<b>Closed</b>

Risk Matrix

<b>Impact Severity</b>	<b>Catastrophic</b>	<b>Y</b>	<b>A</b>	<b>R</b>	<b>R</b>	<b>B</b>	<b>B</b>
	<b>Critical</b>	<b>Y</b>	<b>A</b>	<b>A</b>	<b>R</b>	<b>R</b>	<b>R</b>
	<b>Significant</b>	<b>G</b>	<b>G</b>	<b>Y</b>	<b>A</b>	<b>A</b>	<b>R</b>
	<b>Marginal</b>	<b>G</b>	<b>G</b>	<b>G</b>	<b>Y</b>	<b>Y</b>	<b>A</b>
		<b>Unlikely</b>	<b>Very Low</b>	<b>Low</b>	<b>High</b>	<b>Very High</b>	<b>Extremely High</b>
<b>Likelihood of risk happening</b>							