

**AUDIT COMMITTEE - ACTION SHEET**Presented Wednesday, 6<sup>th</sup> June 2018

15 <sup>th</sup> March 2017				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
56	IA Progress Report	To provide an update to audit committee once the police investigation has reached a conclusion.	Lisa Brownbill	A verbal update was provided at audit committee in March 2018.

22 <sup>nd</sup> November 2017				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
34	Use of Consultancy	That the £25K threshold for Chief Executive approval of consultants is reviewed on an annual basis.	Colin Everett / Gareth Owens	This will be reviewed on an annual basis.
34	Use of Consultancy	Future annual reports to include whether the list of consultants used for the period were local or national.	Colin Everett / Jo Pierce	This will be included as part of the annual report to committee in November 2018.
36	Joint Protocol between Internal Audit and WAO	Assurance mapping to give assurance of working arrangements between departments and other bodies.	Lisa Brownbill	This will be picked up as part of the assurance mapping exercise to be undertaken.

**24<sup>th</sup> January 2018**

<b>Agenda Item No.</b>	<b>Report</b>	<b>Action Required</b>	<b>Responsible Officer</b>	<b>Action Taken</b>
46	Mid-Year Risk Report	That the Risk Management Policy and Strategy be brought to the next meeting to give assurance that this has been fully updated.	Karen Armstrong	Update report to be presented to March Audit Committee.
47	Code of Corporate Governance	To consider how best to present the draft AGS to the new membership of the Audit Committee.	Colin Everett / Gareth Owens / Karen Armstrong	Deliver a presentation to Audit Committee on the AGS, background, purpose and comparison to previous year.
50	IA Progress Report	That the report of the follow-up review of SARTH include details of any delays to ICT changes.	Lisa Brownbill	Included within the Internal Audit Strategic Plan.

**21<sup>st</sup> March 2018**

<b>Agenda Item No.</b>	<b>Report</b>	<b>Action Required</b>	<b>Responsible Officer</b>	<b>Action Taken</b>
56	Treasury Management Quarterly Update 2017/18	To follow up Councillor Johnson's query on whether two of the companies listed in para 1.08 (ICAP plc and Tullet Prebon (UK) Ltd) were the same company named TP ICAP.	Paul Vaughan	Email response provided to Cllr Johnson on 13 <sup>th</sup> April 2018.
60	IA Progress Report	That officers discuss how strategic risks are managed through the Overview & Scrutiny process to give assurance to Audit Committee.	Lisa Brownbill / Robert Robins	In progress. Update to be provided at September committee.

21<sup>st</sup> March 2018

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
61	PSIAS	To schedule the updated Anti-Fraud & Corruption Strategy to a future meeting.	Lisa Brownbill	Anti-Fraud and Corruption Strategy to be reviewed and presented at a future meeting.
61	PSIAS	To arrange for a half-day workshop to assist the Committee in the completion of self-assessment questionnaires and to review the Forward Work Programme.	Lisa Brownbill	To be arranged prior to September 2018.
62	IA Progress Report	To include on Appendix C, actions and timescales for amber red assurance reports.	Lisa Brownbill	Actions and timescales now included within the Progress Report for amber red assurance reports.
62	IA Progress Report	To ensure that any information on Greenfield Valley given to Cllr Dolphin also be shared with other local Members for Holywell.	Colin Everett / Lisa Brownbill / Sim Joh I/ Ian Bancroft	Exploratory meeting has taken place between the three parties (the Council, Town Council and Greenfield Valley). The Town Council has requested the Chief Officer for Strategic Performance meet individually with the three parties as a next step.
63	Action Tracking	Report on control issues to be scheduled for a future meeting.	Lisa Brownbill	A report to be presented at a future meeting.
64	Forward Work Programme	To change the report author for Liz Thomas' reports to Paul Vaughan.	Lisa Brownbill	Forward Work Programme has been amended.