

<b>Ref</b>	A1	<b>Date entered in register</b>	19/09/2017
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late notification of joining	<b>Owner</b>	SB
<b>Party which caused the breach</b>	CPF + various employers		
<b>Description and cause of breach</b>	<p>Requirement to send a Notification of Joining the LGPS to a scheme member within 2 months from date of joining (assuming notification received from the employer), or within 1 month of receiving jobholder information where the individual is being automatically enrolled / re-enrolled.</p> <p>Due to a combination of late notification from employers and untimely action by CPF the legal requirement was not met. 20/11/18 - (Q2) Staff turnover in August/September reduced number actioned. 29/1/19 The introduction of I-connect is also producing large backlogs at the point of implementation for each employer. I-connect submission timescales can also leave only a few days for CPF to meet the legal timescale. 14/8/19 General data cleansing including year-end is affecting whether legal timescale is met. Individual on long-term sick impacting this. 14/2/22 Previous issues no longer relevant. Current situation is purely due to magnitude of cases being received and potentially employer delays.</p>		
<b>Category affected</b>	Active members		
<b>Numbers affected</b>	<p>2017/18: 2676 cases completed / 76% (2046) were in breach.  2018/19: 3855 cases completed / 66% (2551) were in breach.  2019/20: 3363 cases completed / 50% (1697) were in breach.  2020/21  -Q1 - 442 cases completed / 55% (245) were in breach  -Q2 - 1430 cases completed / 56% (799) were in breach  -Q3 - 1329 cases completed / 29% (386) were in breach  -Q4 - 739 cases completed / 15% (114) were in breach  2021/22  -Q1 - 789 cases completed / 15% (118) were in breach  -Q2 - 769 cases completed / 25% (190) were in breach  -Q3 - 1444 cases completed / 15% (190) were in breach  -Q4- 1070 cases completed / 12% (128) were in breach</p>		
<b>Possible effect and wider implications</b>	<ul style="list-style-type: none"> <li>- Late scheme information sent to members which may result in lack of understanding.</li> <li>- Potential complaints from members.</li> <li>- Potential for there to be an impact on CPF reputation.</li> </ul>		
<b>Actions taken to rectify breach</b>	<ul style="list-style-type: none"> <li>- Roll out of iConnect where possible to scheme employers including new admitted bodies to ensure monthly notification of new joiners (ongoing). / - Set up of Employer Liaison Team (ELT) to monitor and provide joiner details more timelessly. / - Training of new team members to raise awareness of importance of time restraint. / - Prioritising of task allocation. KPIs shared with team members to further raise awareness of importance of timely completion of task.</li> <li>- 6/6/18 - Updating KPI monitoring to understand employers not sending information in time.</li> <li>3/6/19 - Review of staff resources now complete and new posts filled.</li> <li>14/8/19 -Streamlining of aggregation cases with major employers. /- Consider feasibility and implications of removing reminders for joining pack (agreed not to change). /- Consider feasibility of whether tasks can be prioritised by date of joining (agreed not to change).</li> <li>14/11/19 - Utilising FCC trainees to assist with this procedure. Joined early September.</li> <li>30/01/2020 - backlog completed and addressed older case work.</li> <li>25/09/2020 - Appointed and training new members of staff</li> <li>17/11/2020 - Training of new staff continuing. An increase of cases completed compared to previous. Expecting next quarter results to improve due to completion of training.</li> <li>02/02/2021 - Training now complete. Expecting further reductions in next quarter results as staff members become more efficient.</li> <li>14/10/2021 - Due to key staff members within this area leaving the Fund in this quarter, recruitment is underway to replace these staff members and new Modern Apprentices are being trained in this area.</li> <li>14/02/2022 - Appointed to vacant positions and Modern Apprentices trained in this area.</li> <li>22/05/2022 - Training now complete. Expecting further reductions in next quarter results as staff members become more efficient.</li> </ul>		
<b>Outstanding actions (if any)</b>	<p>22/05/22 - Analyse new employer reports and escalate to individual employers if required.  Continually review resource requirements to meet KPI.</p>		
<b>Assessment of breach and brief summary of rationale</b>	<p>22/05/2022 Number of cases in breach has reduced this quarter but so has number completed. Improvement should continue as staff become more efficient. Number breached still too high to reduce rating.</p>		
<b>Reported to tPR</b>	No		

<b>Ref</b>	A2	<b>Date entered in register</b>	19/09/2017
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late transfer in estimate	<b>Owner</b>	SB
<b>Party which caused the breach</b>	CPF + various previous schemes		
<b>Description and cause of breach</b>	<p>Requirement to obtain transfer details for transfer in, and calculate and provide quotation to member 2 months from the date of request.</p> <p>Breach due to late receipt of transfer information from previous scheme and late completion of calculation and notification by CPF. Only 2 members of team fully trained to carry out transfer cases due to new team structure and additional training requirements. 29/1/19 National changes to transfer factors meant cases were put on hold / stockpiled end of 2018 / early 2019.</p>		
<b>Category affected</b>	Active members		
<b>Numbers affected</b>	<p>2017/18: 235 cases completed / 36% (85) were in breach.  2018/19: 213 cases completed / 45% (95) were in breach.  2019/20: 224 cases completed / 32% (71) were in breach  2020/21  -Q1- 59 cases completed / 19% (11) were in breach  -Q2- 54 cases completed / 35% (19) were in breach  -Q3- 56 cases completed / 29% (16) were in breach  -Q4- 55 cases completed / 20% (11) were in breach  2021/22  -Q1 - 76 cases completed / 62% (47) were in breach  -Q2 - 76 cases completed / 22% (17) were in breach  -Q3 - 91 cases completed / 15% (14) were in breach  -Q4 - 66 cases completed / 14% (9) were in breach</p>		
<b>Possible effect and wider implications</b>	<ul style="list-style-type: none"> <li>- Potential financial implications on some scheme members.</li> <li>- Potential complaints from members/previous schemes.</li> <li>- Potential for impact on CPF reputation.</li> </ul>		
<b>Actions taken to rectify breach</b>	<p>17/11/2020 - Continued training of team members to increase knowledge and expertise to ensure that transfers are dealt with in a more timely manner.  02/02/2021 - Training to continue. Complex area of work so training taking longer to complete. Training will continue through Q4.  21/05/2021 - Staff members attended external training course.  08/03/2022 - Have investigated how much of the delay is due to external schemes.  22/05/2022 - Additional checks required in transfer process. Schemes taking longer to process therefore knock on effect. Expect this to reduce as industry adjusts to new processes.</p>		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief summary of rationale</b>	22/05/2022 Number of cases completed consistent with time of year. Number in breach remains too high to amend assessment. Improvements expected over following months.		
<b>Reported to tPR</b>	No		

<b>Ref</b>	A4	<b>Date entered in register</b>	19/09/2017
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late notification of retirement benefits	<b>Owner</b>	SB
<b>Party which caused the breach</b>	CPF + various employers + AVC providers		
<b>Description and cause of breach</b>	<p>Requirement to provide notification of amount of retirement benefits within 1 month from date of retirement if on or after Normal Pension Age or 2 months from date of retirement if before Normal Pension Age.</p> <p>Due to a combination of:</p> <ul style="list-style-type: none"> <li>- late notification by employer of leaver information</li> <li>- late completion of calculation by CPF</li> <li>- for members who have AVC funds, delays in receipt of AVC fund values from AVC provider.</li> <li>- temporary large increases in work due to retrospective pay award recalculations</li> </ul>		
<b>Category affected</b>	Active members mainly but potentially some deferred members		

<b>Numbers affected</b>	<p>2017/18: 960 cases completed / 39% (375) were in breach.  2018/19: 1343 cases completed / 30% (400) were in breach  2019/20: 1330 cases completed / 25% (326) were in breach  2020/21</p> <ul style="list-style-type: none"> <li>- Q1 - 214 cases completed in total / 37% (79) were in breach</li> <li>- Q2 - 232 cases completed / 25% (59) were in breach</li> <li>- Q3 - 331 cases completed / 19% (63) were in breach</li> <li>-Q4 - 350 cases completed / 19% (68) were in breach</li> </ul> <p>2021/22</p> <ul style="list-style-type: none"> <li>-Q1 - 329 cases completed / 16% (53) were in breach</li> <li>-Q2 - 388 cases completed / 16 % (64) were in breach</li> <li>-Q3 - 444 cases completed / 14% (64) were in breach</li> <li>-Q4- 373 cases completed / 11% (41) were in breach</li> </ul>
<b>Possible effect and wider implications</b>	<ul style="list-style-type: none"> <li>- Late payment of benefits which may miss payroll deadlines and result in interest due on lump sums/pensions (additional cost to CPF).</li> <li>- Potential complaints from members/employers.</li> <li>- Potential for there to be an impact on CPF reputation.</li> </ul>
<b>Actions taken to rectify breach</b>	<ul style="list-style-type: none"> <li>- Roll out of iConnect where possible to scheme employers including new admitted bodies to ensure monthly notification of retirees (ongoing).</li> <li>- Set up of ELT to monitor and provide leaver details in a more timely manner.</li> <li>- Prioritising of task allocation.</li> <li>- Set up of new process with one AVC provider to access AVC fund information.</li> <li>- Increased staff resources.</li> </ul> <p>3/6/19 - Review of staff resources now complete and new posts filled.  14/8/19 - Improvements have been made and more should be made as staff are settled in and trained. Business case approved.  25/09/20 - Increased engagement with employers to assist with challenges faced due to working from home in relation to Covid-19 requirements. Employers faced challenges in getting information to us in relevant timescales.  17/11/2020- Number of cases completed has increased whilst percentage in breach has reduced compared to last quarter. This is hoped to continue due to increased engagement with employers and processes amended to mitigate challenges faced by Covid-19.  02/02/21 - Completed case numbers continue to increase whilst percentage in breach has reduced again this quarter. Improved engagement with employers via new monthly reporting process should assist in reducing the number of breaches further in future quarters.  21/05/2021 - New reports to employers will go live in June so expected improvement in future quarters.</p>
<b>Outstanding actions (if any)</b>	<p>22/05/22 - Analyse new employer reports and escalate to individual employers if required.  Complete all recalculations so all appropriate staff can focus on retirements.</p>
<b>Assessment of breach and brief summary of rationale</b>	<p>22/05/2022 Number of cases completed consistent with time of year. Number in breach remains too high to amend assessment. Recalculation of benefits due to late pay award will impact this KPI. Improvement may not be seen until all recalculations complete.</p>
<b>Reported to tPR</b>	No

<b>Ref</b>	A6	<b>Date entered in register</b>	20/09/2017
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late notification of death benefits	<b>Owner</b>	SB
<b>Party which caused the breach</b>	CPF		
<b>Description and cause of breach</b>	<p>Requirement to calculate and notify dependant(s) of amount of death benefits as soon as possible but in any event no more than 2 months from date of becoming aware of death, or from date of request by a third party (e.g. personal representative).</p> <p>Due to late completion by CPF the legal requirements are not being met. Due to complexity of calculations, only 2 members of team are fully trained and experienced to complete the task.</p>		
<b>Category affected</b>	Dependant members + other contacts of deceased (which could be active, deferred, pensioner or dependant).		

<b>Numbers affected</b>	2017/18: 153 cases completed / 58% (88) were in breach. 2018/19:184 cases completed / 30% (56) were in breach 2019/20: 165 cases completed / 28% (53) were in breach 2020/21 -Q1- 39 cases completed / 23% (9) were in breach -Q2- 52 cases completed / 38% (20) were in breach -Q3- 31 cases completed / 29% (9) were in breach -Q4- 73 cases completed / 21% (15) were in breach 2021/22 -Q1- 59 cases completed / 8% (5) were in breach -Q2 - 42 cases completed / 5% (2) were in breach -Q3 - 52 cases completed / 17% (9) were in breach -Q4 - 54 cases completed / 19% (10) were in breach
<b>Possible effect and wider implications</b>	- Late payment of benefits which may miss payroll deadlines and result in interest due on lump sums/pensions (additional cost to CPF). - Potential complaints from beneficiaries, particular given sensitivity of cases. - Potential for there to be an impact on CPF reputation.
<b>Actions taken to rectify breach</b>	- Further training of team - Review of process to improve outcome - Recruitment of additional, more experienced staff. 3/6/19 - Review of staff resources now complete and new posts filled. 3/2/20 - Training of additional staff now complete. 18/8/21 - Further work completed identifying where the delay fell e.g. request or receipt of information to facilitate the calculation of benefits, and action taken to improve these issues.
<b>Outstanding actions (if any)</b>	None
<b>Assessment of breach and brief summary of rationale</b>	22/05/2022 - Number of completed cases and breaches have remained constant, due to more experienced staff helping with retirements / recalculations and newly trained staff taking longer to process cases. Improvement should be seen in next quarter. Assessment level to remain Amber.
<b>Reported to tPR</b>	No

<b>Ref</b>	A20	<b>Date entered in register</b>	03/02/2021
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Members not entered into LGPS	<b>Owner</b>	KW
<b>Party which caused the breach</b>	Employer		
<b>Description and cause of breach</b>	Number of employees entered into the Peoples' Pension, rather than the LGPS, by their employer (confidential until all employees are communicated with). Some employees did opt out of Peoples' Pension.		
<b>Category affected</b>	Active members		
<b>Numbers affected</b>	18 employees		
<b>Possible effect and wider implications</b>	- As a result the employees may have less valuable pension rights, and so LGPS membership will need to be applied retrospectively. - Unclear if the employees who opted out, would have also opted out of the LGPS. - LGPS Contributions will need to be collected from employer and employee/employer contributions paid into Clwyd Pension Fund in relation to retrospective period. - Employer will need to liaise with Peoples' Pension to reverse membership there.		
<b>Actions taken to rectify breach</b>	3/2/2021 - Liaising with employer to determine how best to put employees back in correct position. Letters sent to members to explain 21/05/2021 - Regular meetings held with employer and have an action plan in place. Exact number of 18 members have now been identified. 14/10/2021 - All active members have been communicated with and next steps agreed. 14/02/2022 - CPF Pensions Administration Manager has been chasing for final cases to be resolved. 22/05/2022 - Employer requested figures from payroll department on multiple occasions. CPF Pension Administration Manager contacted payroll team leader requesting dates for completion of outstanding actions.		
<b>Outstanding actions (if any)</b>	14/10/2021 - Contact members who have left (4) that are outstanding. These members still need to be communicated with by the employer. No employee financial impact. 14/02/2022 - Action above still outstanding. Ongoing chasing by CPF Pensions Administration Manager. 22/05/2022 - Action above still outstanding. CPF Pension Administration Manager now escalated to Payroll Team Leader for resolution.		
<b>Assessment of breach and brief summary of rationale</b>	22/05/2022 - Follow up actions for the 4 members that have left are still outstanding. Assessment of breach to remain green as number of members impacted is low and no further contributions are being made.		
<b>Reported to tPR</b>	No		

Ref	A22	Date entered in register	21/05/2021
Status	Open	Date breached closed (if relevant)	
Title of Breach	Members not entered into LGPS	Owner	KW
Party which caused the breach	Glyndwr		
Description and cause of breach	Number of employees entered into alternative pension schemes, rather than the LGPS, by Glyndwr.		
Category affected	Active members		
Numbers affected	6 employees		
Possible effect and wider implications	<ul style="list-style-type: none"> <li>- As a result the employees may have less valuable pension rights, and so LGPS membership will need to be applied retrospectively.</li> <li>- LGPS Contributions will need to be collected from employer and employee/employer contributions paid into Clwyd Pension Fund in relation to retrospective period.</li> <li>- Employer will need to liaise with alternative provider to reverse membership there.</li> </ul>		
Actions taken to rectify breach	<p>21/05/2021- Liaising with employer to determine how best to put employees back in correct position and detailed plan of actions has been developed.</p> <p>Letters sent to members to explain</p> <p>14/10/2021 - Letter to 5 outstanding employees requesting confirmation of next steps issued with close date of 31/10/21.</p> <p>14/2/2022 - Employer being chased by CPF.</p> <p>22/05/2022 - CPF continuing to work with employer to resolve individual cases once employee responds with preferred action. Three outstanding cases remain.</p>		
Outstanding actions (if any)	<p>14/10/2021 - Final part of action plan to be completed.</p> <p>14/02/2022 - Employer to continue to be chased by CPF, final part of action plan still to be completed.</p>		
Assessment of breach and brief summary of rationale	27/05/2022 - Changed from amber to green given progress is now being made and waiting for employee responses		
Reported to tPR	No		

Ref	A23	Date entered in register	21/05/2021
Status	Open	Date breached closed (if relevant)	
Title of Breach	Incorrect member contributions paid	Owner	KW
Party which caused the breach	Employer		
Description and cause of breach	When employees are stepping up from their substantive post to higher graded post, incorrect employee and employer contributions have been made. This is due to an incorrect recording on the payroll system.		
Category affected	Active and Deferred		
Numbers affected	20 current and previous employees		
Possible effect and wider implications	<ul style="list-style-type: none"> <li>- As a result the employees may have less valuable pension rights, and so LGPS CARE pay and contributions will need to be checked and difference in contributions paid retrospectively.</li> <li>- LGPS Contributions will need to be collected from employer, and employee/employer contributions paid into Clwyd Pension Fund in relation to retrospective period.</li> </ul>		
Actions taken to rectify breach	<p>21/05/2021- Process has been updated to ensure correct contributions/CARE pay going forward.</p> <p>- Liaising with employer to determine how best to put employees back in correct position retrospectively and letters to be sent to members to explain.</p> <p>14/10/2021 Current employees contacted and all have agreed to pay outstanding contributions/payment plans agreed.</p> <p>14/02/2022 - CPF Pensions Administration Manager has been chasing for final cases to be resolved.</p> <p>22/05/2022 - Employer and Payroll provider being chased by CPF. Escalated to Payroll Team Leader.</p>		
Outstanding actions (if any)	<p>14/10/2021 - Employees who have left employment to be contacted to discuss options and agree actions.</p> <p>14/02/2022 - Action above still outstanding. Ongoing chasing by CPF Pensions Administration Manager.</p> <p>22/05/2022 - CPF will continue to chase payroll as Employer cannot progress until information provided by payroll.</p>		
Assessment of breach and brief summary of rationale	14/02/2022- Members who have left employment are still to be contacted (9). Not all actions completed by employer therefore assessment of breach to remain as amber.		
Reported to tPR	No		

Ref	A24	Date entered in register	22/05/2022
Status	Open	Date breached closed (if relevant)	
Title of Breach	Individuals not offered membership of the scheme	Owner	KW
Party which caused the breach	Employer		
Description and cause of breach	Breach of Disclosure Regulations to a number of individuals who were not given the relevant paperwork to opt-in to the LGPS upon appointment in 2008.		
Category affected	Active members		
Numbers affected	A small number but total not yet known (expected to be less than 50)		
Possible effect and wider implications	<ul style="list-style-type: none"> <li>- As a result the members may have less valuable pension rights, and so LGPS membership will need to be offered retrospectively to the affected members.</li> <li>- If any choose to proceed with retrospective membership, LGPS contributions will need to be collected from the members and then employee/employer contributions paid into Clwyd Pension Fund in relation to retrospective period.</li> </ul>		
Actions taken to rectify breach	22/05/2022 Been liaising with employer to determine how best to proceed and develop a detailed plan of actions.		
Outstanding actions (if any)	22/05/2022 - If appropriate, relevant process and forms to be completed by all parties to confirm membership in CPF, payment of arrears of contributions to be made and pensions system to be updated reflecting correct membership.		
Assessment of breach and brief	22/05/2022 - Small number of individuals affected and still determining resolutions.		
Reported to tPR	No		

Ref	F58	Date entered in register	23 Feb 2021
Status	Closed	Date breached closed (if relevant)	17 Mar 2022
Title of Breach	No submission of contribution remittance advice	Owner	DF
Party which caused the breach	Marchwiel Community Council		
Description and cause of breach	<p>A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.</p> <p>Contributions relating to January 2022 were received within the legal timescales but no remittance advice was received.</p> <p>Previous similar breaches - F11, 16, 32, 42, 46, 49, F50</p>		
Category affected	Active members and employer		
Numbers affected	1 active member		
Possible effect and wider implications	Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach	- 23/02/22 emailed Employer to request remittance		
Outstanding actions (if any)			
Assessment of breach and brief	Remittance received 17/03/22		
Reported to tPR	No		

Ref	F62	Date entered in register	23 Mar 2022
Status	Closed	Date breached closed (if relevant)	31 Mar 2022
Title of Breach	Late payment of contributions	Owner	DF
Party which caused the breach	Gresford Community Council		
Description and cause of breach	<p>Contributions must be paid by the 22nd (if BACs) or 19th (if cheque) of the month following the deductions.</p> <p>Contributions in relation to February 2022 were not received within the deadline. Previous breach F61</p>		
Category affected	Active members and employer		
Numbers affected	1 active member		
Possible effect and wider implications	<ul style="list-style-type: none"> <li>- Could expose employers to late payment interest charge.</li> <li>- Assumptions regarding funding assume regular monthly payment; not adhering to this regulatory requirement could result in changed actuarial assumptions for the employer.</li> </ul>		
Actions taken to rectify breach	- 23/03/22 emailed Employer to request payment		
Outstanding actions (if any)			
Assessment of breach and brief	Payment made 31/03/2022		
Reported to tPR	No		

Ref	F63	Date entered in register	23 Mar 2022
Status	Closed	Date breached closed (if relevant)	30 Mar 2022
Title of Breach	Late payment of contributions	Owner	DF
Party which caused the breach	Ruthin Town Council		
Description and cause of breach	Contributions must be paid by the 22nd (if BACs) or 19th (if cheque) of the month following the deductions.  Contributions in relation to February 2022 were not received within the deadline. Previous breach F55		
Category affected	Active members and employer		
Numbers affected	1 active member		
Possible effect and wider implications	- Could expose employers to late payment interest charge. - Assumptions regarding funding assume regular monthly payment; not adhering to this regulatory requirement could result in changed actuarial assumptions for the employer.		
Actions taken to rectify breach	- 23/03/22 emailed Employer to request payment		
Outstanding actions (if any)			
Assessment of breach and brief	Payment made 30/03/2022		
Reported to tPR	No		

Ref	F64	Date entered in register	23 Mar 2022
Status	Closed	Date breached closed (if relevant)	30 Mar 2022
Title of Breach	No submission of contribution remittance advice	Owner	DF
Party which caused the breach	Ruthin Town Council		
Description and cause of breach	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions and remittance relating to February 2022 were received late on 30/03/2022		
Category affected	Active members and employer		
Numbers affected	1 active member		
Possible effect and wider	Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach	- 23/03/22 emailed Employer to request remittance		
Outstanding actions (if any)			
Assessment of breach and brief	Remittance received 30/03/2022		
Reported to tPR	No		

Ref	F65	Date entered in register	23 Mar 2022
Status	Closed	Date breached closed (if relevant)	24 Mar 2022
Title of Breach	No submission of contribution remittance advice	Owner	DF
Party which caused the breach	Wrexham County Borough Council		
Description and cause of breach	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to February 2022 were received within the deadline but no remittance advice was received.		
Category affected	Active members and employer		
Numbers affected	4,700 active members		
Possible effect and wider	Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach	- 23/03/22 emailed Employer to request remittance		
Outstanding actions (if any)			
Assessment of breach and brief	Remittance received 24/03/2022		
Reported to tPR	No		

Ref	F66	Date entered in register	23 Mar 2022
Status	Closed	Date breached closed (if relevant)	24 Mar 2022

<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Maelor School		
<b>Description and cause of breach</b>	<p>A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.</p> <p>Contributions relating to February 2022 were received within the deadline but no remittance advice was received. (WCBC provide payroll services)</p>		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	30 active members		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 23/03/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Remittance received 24/03/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F67	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	06 Jun 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Flintshire County Council		
<b>Description and cause of breach</b>	<p>A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.</p> <p>Contributions relating to April 2022 were received within the deadline but no remittance advice was received.</p>		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	5,500 active members		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Remittance received 06/06/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F68	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	06 Jun 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Aura (Leisure and Libraries)		
<b>Description and cause of breach</b>	<p>A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.</p> <p>Contributions relating to April 2022 were received within the deadline but no remittance advice was received. (FCC provide payroll services)</p>		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	183 active members		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Remittance received 06/06/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F69	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	06 Jun 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Newydd Catering and Cleaning		



<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to April 2022 were received within the deadline but no remittance advice was received. (FCC provide payroll services)
<b>Category affected</b>	Active members and employer
<b>Numbers affected</b>	285 active members
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance
<b>Outstanding actions (if any)</b>	
<b>Assessment of breach and brief</b>	Remittance received 06/06/2022
<b>Reported to tPR</b>	No

<b>Ref</b>	F70	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	31 May 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Cefn Mawr Community Council		
<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to April 2022 were received within the deadline but no remittance advice was received.		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	3 active members		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Remittance received 31/05/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F71	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	30 May 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Churchills		
<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to April 2022 were received within the deadline but no remittance advice was received.		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	5 active members		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Remittance received 30/05/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F72	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	30 May 2022
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Connah Quay Town Council		

<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to April 2022 were received within the deadline but no remittance advice was received.
<b>Category affected</b>	Active members and employer
<b>Numbers affected</b>	5 active members
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance
<b>Outstanding actions (if any)</b>	
<b>Assessment of breach and brief</b>	Remittance received 30/05/2022
<b>Reported to tPR</b>	No

<b>Ref</b>	F73	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Marchweil Community Council		
<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions relating to April 2022 were received within the deadline but no remittance advice was received. Previous breach F58		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	1 active member		
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Town Clerk on sick leave. Payments are made by direct debit		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F74	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late payment of contributions	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Ruthin Town Council		
<b>Description and cause of breach</b>	Contributions must be paid by the 22nd (if BACs) or 19th (if cheque) of the month following the deductions.  Contributions in relation to April 2022 were not received within the deadline. Previous breach F63		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	1 active member		
<b>Possible effect and wider implications</b>	- Could expose employers to late payment interest charge. - Assumptions regarding funding assume regular monthly payment; not adhering to this regulatory requirement could result in changed actuarial assumptions for the employer.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request payment		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief</b>	Deputy Head of Fund to try and contact Clerk 08/06/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F75	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	No submission of contribution remittance advice	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Ruthin Town Council		

<b>Description and cause of breach</b>	A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made.  Contributions and remittance relating to April 2022 were not received. Previous breach F64
<b>Category affected</b>	Active members and employer
<b>Numbers affected</b>	1 active member
<b>Possible effect and wider</b>	Unable to verify information being paid or reconcile with member year end information.
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request remittance
<b>Outstanding actions (if any)</b>	
<b>Assessment of breach and brief</b>	Deputy Head of Fund to try and contact Clerk 08/06/2022
<b>Reported to tPR</b>	No

<b>Ref</b>	F76	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Closed	<b>Date breached closed (if relevant)</b>	06 Jun 2022
<b>Title of Breach</b>	Late payment of contributions	<b>Owner</b>	DF
<b>Party which caused the breach</b>	Gresford Community Council		
<b>Description and cause of breach</b>	Contributions must be paid by the 22nd (if BACs) or 19th (if cheque) of the month following the deductions.  Contributions in relation to April 2022 were not received within the deadline. Previous breach F62		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	1 active member		
<b>Possible effect and wider implications</b>	- Could expose employers to late payment interest charge. - Assumptions regarding funding assume regular monthly payment; not adhering to this regulatory requirement could result in changed actuarial assumptions for the employer.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request payment		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief summary of rationale</b>	Employer contributions received 26/05/2022. Employees contributions received 06/06/2022		
<b>Reported to tPR</b>	No		

<b>Ref</b>	F77	<b>Date entered in register</b>	27 May 2022
<b>Status</b>	Open	<b>Date breached closed (if relevant)</b>	
<b>Title of Breach</b>	Late payment of contributions	<b>Owner</b>	DF
<b>Party which caused the breach</b>	North Wales Fire Service		
<b>Description and cause of breach</b>	Contributions must be paid by the 22nd (if BACs) or 19th (if cheque) of the month following the deductions.  Contributions in relation to April 2022 were not received within the deadline.		
<b>Category affected</b>	Active members and employer		
<b>Numbers affected</b>	179 active members		
<b>Possible effect and wider implications</b>	- Could expose employers to late payment interest charge. - Assumptions regarding funding assume regular monthly payment; not adhering to this regulatory requirement could result in changed actuarial assumptions for the employer.		
<b>Actions taken to rectify breach</b>	- 27/05/22 emailed Employer to request payment		
<b>Outstanding actions (if any)</b>			
<b>Assessment of breach and brief summary of rationale</b>	Employer emailed 08/06/2022 requesting a call to resolve the issue. They had a new payroll and finance system in April. Payment for April had been missed but was now to be made as a matter of urgency.		
<b>Reported to tPR</b>	No		