

Streetscene and Transportation Portfolio Risk Register

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Risk Register - Part 1 (Portfolio Management)

Finance

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST01	Public bus services (commercial and subsidised) are financially unsustainable due to reduced passenger numbers	Operational	Anthony Stanford	Ceri Hansom	R	R	G Open	NC ↔	Open
*ST02	Increased transport operating costs due to reducing number of available transport operators to provide services	Operational	Anthony Stanford	Ceri Hansom	R	R	G Open	NC ↔	Open
*ST02a	Increased transport operating costs due to the retendering of services	Operational	Anthony Stanford	Ceri Hansom	R	A	G Open	NC ↔	Open
*ST04	Increased operational costs (resource, workforce) for Bereavement Services due to increased number of deaths	Operational	Anthony Stanford	Richard Blake	A	G	G Open	NC ↔	Open
ST06	Unable to ensure highways infrastructure remains safe and capable of supporting economic growth due to reduced funding	Strategic	B Wilkinson	Area Managers	Y	A	Y Open	NC ↔	Open
ST07	Unable to respond to Ash Die Back due to insufficient resource or funding	Strategic	B Wilkinson	Area Managers	Y	A	Y Open	NC ↔	Open
*ST08	Increase in third party claims due to changes in Highway Inspection process	Strategic	B Wilkinson	Area Managers	Y	G	G Q1 2021/22	NC ↔	Open
*ST09	Loss of income from the sale of recyclable materials due to reduced market demand	Operational	Ruth Tulley	G Povey	A	Y	Y Open	NC ↔	Open
*ST10	Increased expenditure on contract, labour, plant, vehicles and materials due to changing work patterns in response to pandemic	Strategic	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	A Open	NC ↔	Open
*ST10a	Increased expenditure on the disposal of residual waste due to increased tonnage collected from residential properties	Strategic	Ruth Tulley	G Povey	A	A	Y Open	NC ↔	Open
*ST11	Significant loss of income from the suspension of services due to COVID-19 response	Strategic	Ruth Tulley	Vicky Plant	R	A	G Open	NC ↔	Open

Key	
Underlying Risk Rating	The risk rating before any mitigating actions
Current Risk Rating	This risk rating following the planned mitigation actions
Target Risk Rating	The risk rating which is realistically achievable and by when
Risk Trend	The trend of the risk since the last review date
NC ↔	Risk has not changed since the last review
Risk Status	Open denotes a live risk Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'	
ST	Streetscene and Transportation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST48	Increased expenditure on service response to adverse weather conditions (flooding; wind; storms)	Operational	B Wilkinson	Area Managers	R	A	G Open	NC ↔	Open
ST55	Uncertainty and delays to confirmation of future grant funding from Welsh Government to enable service planning	Strategic	Katie Wilby	Anthony Stanford, Barry Wilkinson, Ruth Tulley, Neil Cox	R	A	G	NC ↔	Open
ST56	Increased expenditure on energy as costs remain at unprecedented levels and exceed budgets	Operational	Katie Wilby	Anthony Stanford, Barry Wilkinson, Ruth Tulley, Neil Cox	R	Y	G	NC ↔	Open

Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST12 Updated	Reduced numbers of frontline workers and contractors to deliver services safely due to increased sickness levels Note: Risk trend is decreasing and current risk rating decreased to amber due to reduced sickness absence. Target risk date changed to open	Operational	Neil Cox	Gareth Thomas / Kevin Edwards / Jamie Bennett	A	A	G Open	G ↓	Open
*ST12a	Reduced numbers of frontline workers and contractors to deliver services safely due to the requirement for self-isolation	Operational	Neil Cox	Gareth Thomas / Kevin Edwards / Jamie Bennett	A	A	G Q2 2021/22	NC ↔	Open
ST14	An increase in staff absence due to physical and verbal abuse while undertaking duties	Operational	Operational Managers	Supervisors	A	G	G Open	NC ↔	Open
ST15	Workforce industrial action will impact on our ability to deliver key operational services	Strategic	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	Y	G Open	NC ↔	Open
*ST16	Inability to provide staff with key training due to social distancing measures	Operational	Ruth Tulley	Gemma Boniface	Y	Y	G Q2 2021/22	NC ↔	Open
*ST17	Increased referrals to occupational health for mental health issues due to the impacts of remote working or continuing to work in frontline services	Strategic	Katie Wilby	Ruth Cartwright, Neil Cox, Barry Wilkinson, Anthony Stanford	A	Y	G Open	NC ↔	Open
*ST17a	Increased risk of ill health due to mental health and well-being, personal and/or family issues	Strategic	Katie Wilby	Ruth Cartwright, Neil Cox, Barry Wilkinson, Anthony Stanford	R	A	G Open	NC ↔	Open
*ST20	Missing key changes in employees' health and wellbeing due to Face to Face Occupational Health Surveillance appointments being placed on hold	Operational	Ruth Tulley	Gemma Boniface	Y	Y	G Q2 2021/22	NC ↔	Open
*ST45	Inability to deliver key frontline services due to the shortage of HGV drivers	Operational	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	R	G Open	NC ↔	Open
*ST46	Inability to deliver service due to the difficulty of recruiting and/or retaining skilled staff and workforce.	Operational	Katie Wilby	Ruth Cartwright, Neil Cox, Barry Wilkinson, Anthony Stanford	A	R	G Open	NC ↔	Open

Property & Assets

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST21	Loss of depot facilities will impact our ability to deliver operational services	Operational	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	R	G	G Open	NC ↔	Open
ST23	Impact of adverse weather conditions on the highway network resulting in damage to infrastructure	Strategic	B Wilkinson	Area Managers	A	A	A Open	NC ↔	Open
ST24a	Unable to progress with key infrastructure improvement projects due to resilience in staff, contractors and supply chain	Strategic	Anthony Stanford	Richard Flood	A	R	G Q4 2021/22	NC ↔	Open
*ST26	Lack of available space at the Alltami depot facility to be able to provide full service due to social distancing measure	Operational	Neil Cox	Gareth Thomas	R	A	Y Q4 2021/22	NC ↔	Open

Governance/Legal

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST27	Breach of legislation / Non-compliance with legislation (Environmental Permits, Health & Safety, Vehicle Operators' license, TROs) due to the inability to provide work services	Strategic	Katie Wilby	Ruth Tulley, Neil Cox, Barry Wilkinson, Anthony Stanford	A	Y	G Open	NC ↔	Open

External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST28	Unable to accept and process waste and recycling due to the loss of Environmental Permit	Strategic	Ruth Tulley	G Povey	A	G	G Q4 2020/21	NC ↔	Open
*ST28a	Unable to gain regulatory approval (planning; Drainage; environmental permitting) to progress key infrastructure projects in a timely manner due to delays in processing applications.	Operational	Katie Wilby	Steven Parry, Gaby Povey	A	R	G Q4 2021/22	NC ↔	Open
*ST30	Expiry of statutory training certifications due to the inability to undertake testing / training	Strategic	Ruth Tulley	Gemma Boniface	G	A	G Q2 2021/22	NC ↔	Open

ICT & Systems

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST33	Unable to deliver key operational services due to the failure of telecommunications, ITC, mobile phone networks	Strategic	Katie Wilby	Ruth Tulley, Neil Cox, Barry Wilkinson, Anthony Stanford	R	G	G Open	NC ↔	Open
ST47	Unable to deliver key services due to the delay in progressing IT development projects	Strategic	Katie Wilby	Ruth Tulley, Neil Cox, Barry Wilkinson, Anthony Stanford	G	A	G Open	NC ↔	Open

Risk Register - Part 2 (Portfolio Service & Performance Risks)

Service Delivery

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST34	Lack of available materials (tarmac, stone) to undertake planned works due to product availability	Strategic	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Q4 2021/22	NC ↔	Open
*ST35	Lack of preparedness to respond to multiple emergencies or major incidents during the pandemic (severe weather event, fire, major transport accident) due to resource being focused on delivering key services	Strategic	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G OPEN	NC ↔	Open
*ST36	Loss of key suppliers or partner organisations to deliver key operational services due to company insolvencies	Strategic	Neil Cox	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Open	NC ↔	Open
*ST36a	Loss of key suppliers or partner organisations to deliver key operational services due break in operations (fire, loss of permit, sickness)	Operational	Ruth Tulley	Katie Wilby, Barry Wilkinson, Anthony Stanford	A	A	G Open	NC ↔	Open
ST36b	Essential Services are disrupted and/or stopped due to contract failure	Strategic	Katie Wilby	Ruth Tulley, Barry Wilkinson, Anthony Stanford	A	A	G Open	NC ↔	Open

Highway Policy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST49	Inability to deliver nonessential highway network schemes due to service resilience	Strategic	Anthony Stanford	Richard Flood	A	A	G Open	NC ↔	Open

Fleet

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST40	Interruption of available fuel to sufficiently operate fleet vehicles	Strategic	B Wilkinson	Fleet Manager	G	Y	G OPEN	NC ↔	Open
*ST40a	Increasing fuel costs due to instability in the fuel market	Operational	B Wilkinson	Fleet Manager	A	A	G OPEN	NC ↔	Open

Waste Strategy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST41	Unable to dispose of waste and recycling products due to end producer availability	Operational	Ruth Tulley	G Povey	R	A	G Open	NC ↔	Open
ST50	Inability to achieve national recycling targets due to increased residual waste tonnages collected	Strategic	Ruth Tulley	G Povey	R	A	G Open	NC ↔	Open

Parking and Enforcement

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST42a	An increase in dangerous and obstructive parking in residential areas preventing operational service delivery (waste and recycling collections; gritting; highway maintenance) due to increased working from home.	Operational	Neil Cox	Kevin Edwards	Y	A	G Open	NC ↔	Open
*ST43a Updated	An increase in environmental crime (littering, dog fouling, side waste) due to increased footfall in public and open spaces and residents not disposing of waste appropriately Note: risk trend is decreasing and current risk rating decreased to yellow due to reduced reports of environmental crime	Operational	Ruth Tulley	Vicky Plant	A	Y	G Q4 2021/22	G ↓	Open

Compliance and Training

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST51 Updated	Inability to deliver training session due to vacancy of the training officer position Note: risk trend is decreasing and current risk rating decreased to green due to successful appointment of an operational trainer	Operational	Ruth Tulley	Gemma Boniface	A	G	G Q1 2022/23	G ↓	Open
ST52	Inability to deliver service due to untrained workforce	Operational	Ruth Tulley	Gemma Boniface	R	A	G OPEN	NC ↔	Open
ST53	Loss of training data due to inadequate recording process	Operational	Ruth Tulley	Gemma Boniface	R	A	G Q2 2022/23	NC ↔	Open
ST54	Increased expenditure on procuring training database systems	Operational	Ruth Tulley	Gemma Boniface	Y	Y	G Q2 2022/23	NC ↔	Open

Closed Risk

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST29	Loss of Industry Standard accreditations due to the inability to undertake external audits	Operational	R Cartwright	G Povey / P Murphy	G	G	G Q3 2020/21	NC ↔	Closed
*ST31	Expiry of calibrated equipment due to the inability to undertake testing	Operational	Ruth Cartwright	Gemma Boniface	G	G	G Q3 2020/21	NC ↔	Closed
*ST13	Limited Personal Protective Equipment (PPE) for workforce to conduct operations safely due to lack of availability in supply chain	Operational	Gareth Thomas	Steve O'Shaughnessy	A	G	G Q2 2020/21	NC ↔	Closed
*ST19	Unable to build resilience and provide generic work functions due to remote working	Operational	Ruth Cartwright	Vicky Plant	Y	G	G Q2 2020/21	NC ↔	Closed
*ST24	Unable to progress with key infrastructure improvement projects due to prolonged pandemic impacts due to reduced grant funding	Strategic	Anthony Stanford	Richard Flood	G	G	G Q4 2020/21	NC ↔	Closed
*ST42	An increase in dangerous and obstructive parking due to the suspension of parking enforcement	Operational	Ruth Cartwright	Vicky Plant	A	G	G Open	NC ↔	Closed
*ST03	Increasing cost of undertaking Public Health Funerals due to inability of families to fund funeral	Operational	Anthony Stanford	Richard Blake	A	G	G Q4 2020/21	NC ↔	Closed

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST05	National targets not being met for Road Safety and Active Travel due to an inability to complete essential schemes	Strategic	Anthony Stanford	Richard Flood	R	G	G Q4 2020/21	NC ↔	Closed
*ST18	Backlog of administrative work due to home working (permit processing, payment refunds, tag printing)	Operational	Ruth Cartwright	Vicky Plant	A	G	G Q4 2020/21	NC ↔	Closed
*ST22	Lack of available burial capacity due to increased demand	Operational	Anthony Stanford	Richard Blake	R	G	G Q4 2020/21	NC ↔	Closed
*ST32	Backlog in vehicle MOTs due to the closure of test centres	Operational	B Wilkinson	Fleet Manager	R	G	Y Q4 2020/21	G ↓	Closed
*ST44	Increase in large scale fly tipping due to the closure of Household Recycling Centres and illegal waste collection companies operating	Operational	Ruth Cartwright	Vicky plant	A	G	G Open	NC ↔	Closed
*ST25	Inadequate vehicle capacity to transport pupils and passengers whilst adhering to social distancing	Operational	Anthony Stanford	Ceri Hansom	R	G	Y Open	NC ↔	Closed
*ST38	Unable to undertake pedestrian/cycle safety training due to schools not operating and reduced funding	Operational	Anthony Stanford	Richard Flood	A	G	G Open	NC ↔	Closed
*ST39	Unable to undertake traffic surveys to support financial bids for transport schemes or justify implementing new safety measures	Strategic	Anthony Stanford	Richard Flood	R	G	G Open	NC ↔	Closed
*ST43	An increase in environmental crime (littering, dog fouling, side waste) due to the suspension of monitoring patrols	Operational	Ruth Cartwright	Vicky Plant	A	Y	G Open	NC ↔	Closed
*ST02b	Increased transport operating costs due to industrial action undertaken by contractors leading to re-procurement of routes	Operational	Anthony Stanford	Ceri Hansom	R	A	G Q4 2021/22		Closed
ST15a	Inability to deliver key transport services due to contractor industrial action	Operational	Anthony Stanford	Ceri Hansom	A	A	G Q4 2021/22		Closed

Risk Matrix

Impact Severity	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Significant	G	G	Y	A	A	R
	Marginal	G	G	G	Y	Y	A
		Unlikely	Very Low	Low	High	Very High	Extremely High
Likelihood of risk happening							